

# Little Rock Trade and Investment Ltd.

**Admin Office:** B-38, Ground Floor, GHCL House, Institutional Area, Sector-1,

Noida, Gautam Buddha Nagar, U.P. – 201301

**CIN:** L52100WB1981PLC033628; **Website:** www.lrtil.co.in

**Email:** lrtil1981@yahoo.com / investcos@yahoo.com, **Ph:** +91-120-4939945

---

May18, 2024

The President,  
Kolkata Stock Exchange Ltd.,  
7 –Lyons Range,  
Kolkata – 700 001.

**Ref : CSE Script Code – 22055**

Pursuant to provision of Regulation 30(2) & Regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) regulations, 2015 and other applicable provisions, if any, please find enclosed herewith :

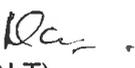
1. Audited financial results for the quarter and financial year ended on March 31, 2024 along with the Statutory Auditor's Report as considered, reviewed and approved by the Board of Directors in their meeting held on May 18, 2024.
2. Statement of Assets and Liabilities as on March 31, 2024.
3. Statement of Cash Flow for the financial year ended on March 31, 2024.

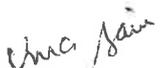
Further the Board Meeting started at its scheduled time at 12.00 PM and concluded at 1.00 PM..

Please acknowledge the receipt.

Thanking you,

Yours faithfully,  
For Little Rock Trade and Investment Ltd.

  
(MOHANAN.T)  
Director



**LITTLE ROCK TRADE AND INVESTMENT LTD (CIN: L52100WB1981PLC033628)**

Regd. Office: 20/1, Maharshi Debendra Road, 2nd Floor, Kolkata (WB)- 700007

Admin. Office: B-38, Ground Floor, Institutional Area, Sector-1, Noida (U.P.) - 201301.

Website: www.lrtil.co.in , Email: lrtil1981@yahoo.com, Ph: +91-120-4939945

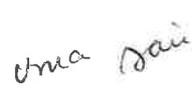
**Statement of Audited Financial Results for the quarter and year ended on March 31, 2024**

Particulars	Quarter ended		Year ended		(Rs. in Lacs)
	31.03.2024 (Audited)	31.12.2023 (Unaudited)	31.03.2023 (Audited)	31-03-2024 (Audited)	31.03.2023 (Audited)
(Refer Notes Below)					
<b>1. Income from Operations</b>					
(a) Net Sales/Income from Operations (Net of excise duty)	-	-	-	-	-
(b) Other Operating Income	0.60	-	0.60	6.58	5.13
<b>Total income</b>	<b>0.60</b>	<b>-</b>	<b>0.60</b>	<b>6.58</b>	<b>5.13</b>
<b>2. Expenses</b>					
(a) Cost of Materials consumed	-	-	-	-	-
(b) Purchase of stock-in-trade	-	-	-	-	-
(c) Changes in inventories of finished goods, work-in-progress and stock-in-trade	-	-	-	-	-
(d) Employee benefits expense	0.10	0.66	2.18	1.74	11.04
(e) Depreciation and amortisation expense	-	-	-	-	-
(f) Other expenses (Any item exceeding 10% of the total expenses relating to continuing operations to be shown separately)	(12.90)	2.91	0.97	5.23	3.39
<b>Total Expenses</b>	<b>(12.80)</b>	<b>3.57</b>	<b>3.15</b>	<b>6.97</b>	<b>14.43</b>
<b>3. Profit / (Loss) from operations before other income, finance costs and exceptional items (1-2)</b>	<b>13.40</b>	<b>(3.57)</b>	<b>(2.55)</b>	<b>(0.39)</b>	<b>(9.30)</b>
4. Other Income	-	-	-	-	-
<b>5. Profit / (Loss) from ordinary activities before finance costs and exceptional items (3 + 4)</b>	<b>13.40</b>	<b>(3.57)</b>	<b>(2.55)</b>	<b>(0.39)</b>	<b>(9.30)</b>
6. Finance Costs	17.04	0.00	7.67	17.05	7.68
<b>7. Profit / (Loss) from ordinary activities after finance costs but before exceptional items (5 ± 6)</b>	<b>(3.65)</b>	<b>(3.58)</b>	<b>(10.21)</b>	<b>(17.44)</b>	<b>(16.98)</b>
8. Exceptional Items	(535.05)	-	-	(535.05)	-
<b>9. Profit / (Loss) from ordinary activities before tax (7 ± 8)</b>	<b>(538.70)</b>	<b>(3.58)</b>	<b>(10.21)</b>	<b>(552.49)</b>	<b>(16.98)</b>
10. Tax expense	-	-	-	-	-
<b>11. Net Profit / (Loss) from ordinary activities after tax (9 ± 10)</b>	<b>(538.70)</b>	<b>(3.58)</b>	<b>(10.21)</b>	<b>(552.49)</b>	<b>(16.98)</b>
12. Extraordinary items	(1.18)	-	-	(1.18)	-
<b>13. Net Profit / (Loss) after Tax for the period (11 ± 12)</b>	<b>(539.88)</b>	<b>(3.58)</b>	<b>(10.21)</b>	<b>(553.68)</b>	<b>(16.98)</b>
<b>OTHER COMPREHANSIVE INCOME</b>					
14. Other Comprehensive Income for the period net of Tax - not to be reclassified subsequently to Profit & Loss Account	90.13	-	87.98	90.13	87.98
<b>15. Total Comprehensive Income for the period comprising Profit / (Loss) after taxes and Comprehensive Income (13 + 14)</b>	<b>(449.75)</b>	<b>(3.58)</b>	<b>77.77</b>	<b>(463.54)</b>	<b>71.01</b>
16. Paid-up equity share capital (Face Value of Rs 10.00 each)	124.90	124.90	124.90	124.90	124.90
17. Other Equity excluding Revaluation Reserves as per Last Audited Balance Sheet	-	-	-	124.03	590.22
<b>18. Earnings Per Share (of Rs 10/- each)</b>					
					<b>not annualised</b>
(a) Basic	(43.23)	(0.29)	(0.82)	(44.33)	(1.36)
(b) Diluted	(43.23)	(0.29)	(0.82)	(44.33)	(1.36)
<b>19.ii Earnings Per Share (after extraordinary items) (of Rs 10/- each)</b>					
(a) Basic	(43.23)	(0.29)	(0.82)	(44.33)	(1.36)
(b) Diluted	(43.23)	(0.29)	(0.82)	(44.33)	(1.36)

Note: as attached

For Little Rock Trade and Investment Ltd

Place: Noida  
Dated: 18/05/2024
  
 Mohanan Thrayil  
 Director  
 DIN: 08310232

  
 Uma Jain  
 Managing Director  
 DIN: 08784845

## LITTLE ROCK TRADE AND INVESTMENT LTD (CIN: L52100WB1981PLC033628)

Standalone Statement of Cash Flows for the year ended March 31, 2024

(Amount in lac)

Particulars	for the year ended March 31,2024	for the year ended March 31,2023
<b>Operating activities</b>		
Profit before tax	(17.44)	(16.38)
Adjustment for:		
Depreciation/amortisation	-	-
(Gain)/Loss on sale of investments/diminution in the value of investment	-	-
Loss on sale/disposal of property,plant and equipment and asset held for sale	-	-
interest income	-	-
Finance cost	17.05	7.68
Income from dividend	(5.98)	(5.13)
Employees share based payments	-	-
Unrealised exchnage Loss/(gain)	-	-
<b>Operating Profit before working capital changes</b>	<b>(6.37)</b>	<b>(13.83)</b>
<b>Changes in working capital</b>		
<b>Adjustments for (increase)/Decrease in Operating assets:</b>		
Trade receivables	0.13	(1.11)
Inventories		
Other current financial assets		
other current assets		
Non-current financial assets		
other non-current assets		
<b>Adjustment for Increase/(Decrease) in Operating liabilities:</b>		
Contract liabilities		
Trade payables	0.15	(0.78)
Derivative instruments		
other non current financial liabilities		
other current financial liabilities		
other current liabilities		
Provisions		
<b>Cash generated from operations</b>	<b>(6.09)</b>	<b>(15.72)</b>
Direct tax paid(net)/Extra ordinary	(2.65)	-
<b>Net cash generated from operating activities</b>	<b>(8.73)</b>	<b>(15.72)</b>
Extra Ordinary Items and exceptional item (Net)	(536.23)	-
<b>Net cash generated from operating activities after exceptional and extra ordinary</b>	<b>(544.96)</b>	
<b>Cash flow from investing activities</b>		
payment for property,plant and equipment		
Proceeds from sale of Property,plant and equipment		
Advance given	-	
Interest received		
Dividend received	5.98	5.13
<b>Net cashused in investing activities</b>	<b>5.98</b>	<b>5.13</b>
<b>Cash flow from financing activities</b>		
Proceeds from equity shares ( including premium)		
Buyback of equity share capital (incuding tax paid)		
Dividend paid		
Dividend distribution tax paid		
Proceeds from long term borrowings	558.39	15.89
Repayment of long term borrowings		
Proceeds/(Repayment) from short-term borrowings		
Payment of lease liabilities		
Unpaid dividend account (net)		
Bank deposit in escrow account and margin money		
Interestr paid	(17.05)	(7.68)
<b>Net cash used in financing activities</b>	<b>541.34</b>	<b>8.22</b>
<b>Net(Decrease)/Increase in cash and cash equivalents</b>	<b>2.35</b>	<b>(2.38)</b>
<b>Cash and cash equivalents a the beginning of the year</b>	<b>1.26</b>	<b>3.64</b>
<b>Cash and cash equivalents a the end of the year</b>	<b>3.61</b>	<b>1.26</b>
<b>Components of cash and cash equivalents</b>		
Cash in hand	0.05	0.05
Banlances with Bank:		
-on current accounts	3.55	1.21
<b>Total cash and cash equivalents</b>	<b>3.61</b>	<b>1.26</b>

Note:

the Cash flow statement has been prepared under the indirect method as setout in the IND AS 7 "statement of cashflows"

Ma .

Vish Jain

## LITTLE ROCK TRADE AND INVESTMENT LTD (CIN: L52100WB1981PLC033628)

## Statement of Assets &amp; Liabilities

Particulars	Amount (Rs. in Lac)	
	As at	As at
	31.03.2023 (Audited)	31.03.2022 (Audited)
<b>I Assets</b>		
<b>Non current Assets</b>		
a property, plant and equipment	-	-
b Capital work in progress	-	-
c other intangible assets	-	-
d Right-of-use assets	-	-
e Intangible assets under development	-	-
f Financial Cost		
(i) Investment	1,092.39	992.68
(ii) Loans	-	-
(iii) other non current financial assets	81.32	81.32
g Other non current assets		
<b>Total Non-Current Assets</b>	<b>1,173.71</b>	<b>1,074.00</b>
<b>Current Assets</b>		
a Inventories	-	-
b Financial Assets		
(i) Investments	-	-
(ii) Trade Receivables	0.60	0.60
(iii) Cash & cash equivalents	3.61	1.26
(iv) Bank Balance other than cash & cash equivalents	-	-
(v) Loans	-	-
(vi) Derivative instruments	-	-
(vii) other current financial assets	-	-
c Current tax assets (net)	-	-
d other current assets	1.78	1.91
<b>Total current assets</b>	<b>5.99</b>	<b>3.77</b>
<b>Total Assets</b>	<b>1,179.70</b>	<b>1,077.77</b>
<b>II Equity and Liabilities</b>		
<b>Equity</b>		
a Equity Share Capital	124.90	124.90
b Other equity	124.03	590.22
<b>Total Equity</b>	<b>248.93</b>	<b>715.12</b>
<b>Non Current Liabilities</b>		
a Financial liabilities		
(i) Borrowings	653.46	95.07
(ii) Lease liabilities	-	-
b provisions	-	-
c other non-current liabilities	-	-
d deferred tax liabilities (net)	269.82	260.24
<b>Total Non Current Liabilities</b>	<b>923.28</b>	<b>355.31</b>
<b>Current liabilities</b>		
a Financial liabilities		
(i) Borrowings	-	-
(ii) Trade Payable	-	-
(a) total outstanding dues of micro enterprises and small enterprises	-	-
(b) Total outstanding dues of creditors other than micro enterprises and small enterprises.	5.66	6.39
(iv) other current financial liabilities	-	-
(v) Derivative instruments	-	-
b Provisions	-	-
c other current liabilities		
(i) Contract liabilities	-	-
(ii) other current liabilities	1.83	0.95
<b>Total current liabilities</b>	<b>7.49</b>	<b>7.34</b>
<b>Total Equity and Liabilities</b>	<b>1,179.70</b>	<b>1,077.77</b>



Uma Jain



Independent Auditor's Review Report on the Quarterly and Year to Date audited Standalone Financial Results of the Company Pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended

To the Board of Director  
Little Rock Trade & Investment Limited

**Report on the audit of the Financial Results**

### Opinion

We have audited the accompanying statement of quarterly and year to date financial results of **Little Rock Trade & Investment Limited** (the "Company") for the quarter ended March 31, 2024 and for the year ended March 31, 2024 ("Statement"), attached herewith, being submitted by the Company pursuant to the requirement of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (the "Listing Regulations").

In our opinion and to the best of our information and according to the explanations given to us, the Statement:

- i. is presented in accordance with the requirements of the Listing Regulations in this regard; and
- ii. gives a true and fair view in conformity with the applicable accounting standards and other accounting principles generally accepted in India, of the net profit and other comprehensive income and other financial information of the Company for the quarter ended March 31, 2024 and for the year ended March 31, 2024.

### Basis for Opinion

We conducted our audit of the standalone financial statements in accordance with the Standards on Auditing ("SA"s) specified under Section 143(10) of the Act. Our responsibilities under those Standards are further described in the Auditor's Responsibilities for the Audit of the Standalone Financial Statements section of our report. We are independent of the Company in accordance with the Code of Ethics issued by the Institute of Chartered Accountants of India ("ICAI") together with the ethical requirements that are relevant to our audit of the standalone financial statements under the provisions of the Act and the Rules made thereunder, and we have fulfilled our other ethical responsibilities in accordance with these requirements and the ICAI's Code of Ethics. We believe that the audit evidence obtained by us is sufficient and appropriate to provide a basis for our audit opinion on the standalone financial statements.

### Key Audit Matters

Key audit matters are those matters that, in our professional judgment, were of most significance in our audit of the standalone financial statements of the current period. These matters were addressed in the context of our audit of the standalone financial statements as a whole, and in forming our opinion thereon, and we do not provide a separate opinion on these matters.

### Management's Responsibilities for the Standalone Financial Statements.

The Statement has been prepared on the basis of the annual financial statements. The Company's Board of Directors is responsible for the matters stated in section 134(5) of the Act with respect to the preparation of these standalone financial statements that give a true and fair view of the financial



position, financial performance, including other comprehensive income, changes in equity and cash flows of the Company in accordance with the Ind AS and other accounting principles generally accepted in India. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the Act for safeguarding the assets of the Company and for preventing and detecting frauds and other irregularities; selection and application of appropriate accounting policies; making judgments and estimates that are reasonable and prudent; and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the standalone financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

In preparing the standalone financial statements, management is responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

The Board of Directors is also responsible for overseeing the Company's financial reporting process.

### **Auditor's Responsibilities for the Audit of the Standalone Financial Statements**

Our objectives are to obtain reasonable assurance about whether the standalone financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with SAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these standalone financial statements.

As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional scepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the standalone financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal financial control relevant to the audit in order to design audit procedures that are appropriate in the circumstances. Under section 143(3)(i) of the Act, we are also responsible for expressing our opinion on whether the Company has adequate internal financial controls system in place and the operating effectiveness of such controls.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the management.
- Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the standalone financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the standalone financial statements, including the disclosures, and whether the standalone financial statements represent the underlying transactions and events in a manner that achieves fair presentation.



We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

We also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

#### Other Matter

The Statement includes the results for the quarter ended March 31,2024 being the balancing figure between the audited figures in respect of the full financial year ended March 31,2024 and the published unaudited year-to-date figures up to the third quarter of the current financial year, which were subjected to a limited review by us, as required under the Listing Regulations.

For RAKESH K AGARWAL & CO

Chartered Accountants

(Firm's Registration No. 033764N)



Rakesh Kumar Agarwal

Proprietor

(Membership No.086574)

UDIN: 24086574 BKFGG P9616

Date: May 18, 2024

Place: Noida

Notes to the Statement of Financial Results for the quarter and year ended March 31, 2024

1	The above financial results of the Company have been prepared in accordance with Indian Accounting Standard (Ind AS) as prescribed under Section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard ) Rules, 2015 and other relevant amendments thereof. The financial results have been reviewed by the Audit Committee and approved by the Board of Directors of the Company at their respective meetings held on May 18, 2024. The Statutory Auditor have conducted the audit of the financial results and have expressed an unqualified audit opinion as per requirement under Regulation 33 of SEBI (LODR) Regulations, 2015.
2	Segment information reporting is not applicable as Company has only one business segment.
3	The certificate in respect of the above results in terms of the Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 has been placed before the Board of Directors.
4	The figures for the quarter ended on March 31, 2024 are the balancing figures between audited figures in respect of full financial year ended on March 31, 2024 and the unaudited published year to date figures upto December 31, 2023 being the end of third quarter of the financial year which are subject to limited review. Previous years/period figures have been regrouped/reclassified, wherever necessary to conform to those current year classification.
5	In line with the requirements of Regulation 47(2) of the Listing Regulations 2015, the results are available on the website of CSE and on Company's website ( <a href="http://www.lrtil.co.in">www.lrtil.co.in</a> ).

*Alca* - *Uma Jain*

# Little Rock Trade and Investment Ltd.

Admin Office: B-38, Ground Floor, GHCL House, Institutional Area, Sector-1,  
Noida, Gautam Buddha Nagar, U.P. – 201301

CIN: L52100WB1981PLC033628 ;

Website: www.lrtil.co.in

Email: lrtil1981@yahoo.com / investcos@yahoo.com,

Ph: +91-120-4939945

Date: April 10, .2024

**The Calcutta Stock Exchange Ltd**

7- Lyons Range

Kolkata – 700001

Ref	CSE Scrip Code = 22055 & 10022055
-----	-----------------------------------

Sub: Submission of Shareholding Pattern under Regulation 31(1)(b) and Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 & Share Capital Audit Report under Regulation 76 of SEBI (Depositories & Participants) Regulations 2018 for Quarter and financial Year ended on March 31, 2024

Dear Sir,

Please find enclosed herewith -

- Shareholding Pattern for the Quarter ended on March 31, 2024, as per requirement of Regulation 31(1)(b) of SEBI (LOADR) Regulations 2015 (including any modification(s) or amendments thereof), read with other applicable provisions, if any, **(Annexure-I)**.
- Corporate Governance for the Quarter and Financial year ended on March 31, 2024, as per requirement of Regulation 27(2) of SEBI (LOADR) Regulations 2015, read with other applicable provisions if any **(Annexure-II)**.
- Share Capital Audit Report for the quarter ended on March 31, 2024 **(Annexure III)**.
- Half-yearly Compliance Report on disclosure of loans/guarantees/comfort letters/securities etc. **(Annexure – IV)**.

Please acknowledge the receipt

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

Mohanan Thrayil 

(Director)

Encl: as above.

Regd. Office: 20/1, Maharshi Debendra Road, 2<sup>nd</sup> Floor, Kolkata (WB)- 700007

**Share Holding Patterns per Regulation 31(1) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015**

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **22055/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) **Regulation 31(1)(b)**
- a. If under 31(1)(b) then indicate the report for Quarter ending **March 31, 2024**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment **NA**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**5. The tabular format for disclosure of holding of specified securities is as follows :**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y								
(A)	Promoter & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total</b>	<b>36</b>	<b>1249000</b>	<b>0</b>	<b>0</b>	<b>1249000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y								
(I)	Indian																
(a)	Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Body Corporate)		3	1135000	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Mansarovar Commercial Pvt Ltd	AAGCMC307D	446900	0	0	446900	35.78	0	0	0.00	0	0.00	0	0.00	0	0.00	0



	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(3)	Non-Institutions																
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		30	25120	0	0	25120	0.56	0	0	0	0.00	0	0.00	0	0.00	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		3	88880	0	0	88880	8.57	0	0	0	0.00	0	0.00	0	0.00	0
	Mitima Lal			22880													
	Suresh Kr Doshi			33000													
	Bimal Kr Singh			33000													
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
				0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(3)		33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available and the balance to be disclosed as held by custodian.

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
(1)	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non- Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective column to the extent information available.

Name & Designation	For Little Rock Trade and Investment Ltd.	
	Date: 10.04.2024	 <b>Mohan Thrayil</b> (Director)

## Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing Obligations &amp; Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**  
 2. Quarter ending: **March 31, 2024**

## I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) <sup>a</sup>	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1))
Mrs.	Uma Jain	PAN: AGUPJ0119M DIN: 08784837	Managing Director	11-02-2021	N/A	3	4	0
Mr.	Krishnan Swaminathan	PAN: ABDPS4948M DIN: 08784845	Independent Director	10-07-2020	44 months	3	4	4
Mr.	Mohanan Thrayil	PAN: ADWPT4142P DIN: 08310232	Non-Executive Director	30-06-2020		2	1	0
Mr.	Shashi Kant Gupta	PAN: ACJPG4348N DIN: 02623242	Non-Executive	10.02.2022	N/A	1	3	0
Mr.	Rajender Soni	PAN: AASPS7995Q DIN: 08784845	Independent Director	10.02.2022	25.5 months	1	3	0

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)
1. Audit Committee	1. Ms. Uma Jain 2. Mr. Rajender Sini 3. Mr. Krishnan Swaminathan (Chairman)	Executive Independent Independent
2. Nomination & Remuneration Committee	1. Ms. Uma Jain 2. Mr. Rajender Soni 3. Mr. Krishnan Swaminathan (Chairman)	Executive Independent Independent
3. Risk Management Committee (if applicable)	NA	
4. Stakeholders Relationship Committee'	Not applicable	

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
08.11.23	13.02.24	98 days

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
13.02.24	Yes, all the members were present at the meeting	08.11.23	98 days

## V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

## VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee
  - Nomination & remuneration committee
  - Stakeholders relationship committee
  - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Little Rock Trade &amp; Investment Ltd


Mohanan Thrayil  
( Director)Date: 10.04.2024  
Place: Noida

## Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (LOADR) Regulations 2015 for the Financial Year ended on March 31, 2024

Name of the Entity : Little Rock Trade and Investment Ltd.  
Financial Year ended on: March 31, 2024

## I. Disclosure on website in terms of Listing Regulations

Item	Compliance status
Details of business	Yes
Terms and conditions of appointment of independent directors	NA
Composition of various committees of board of directors	Yes
Code of conduct of board of directors and senior management personnel	Yes
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes
Criteria of making payments to non-executive directors	Yes
Policy on dealing with related party transactions	Yes
Policy for determining 'material' subsidiaries	Yes
Details of familiarization programmes imparted to independent directors	Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes
email address for grievance redressal and other relevant details	Yes
Financial results	Yes
Shareholding pattern	Yes
Details of agreements entered into with the media companies and/or their associates	NA
New name and the old name of the listed entity	NA

## II Annual Affirmations

Particulars	Regulation Number	Compliance status
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1)	Yes
Meeting of Board of directors	17(2)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	NA
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	NA
Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	28(1)	Yes
Affirmation with compliance to code of conduct from members of Board Directors and Senior management personnel	28(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

## Note

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

3 If the Listed Entity would like to provide any other information the same may be indicated here.

## III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied.-NA

For Little Rock Trade & Investment Ltd

Date: 10.04.2024

Place: Noida



Mohanan Thrayil  
( Director)

To  
Little Rock Trade & Investment Ltd  
B-38, GHCL House, Ground Floor  
Noida (UP) -201301

Dear Sirs/Madam,

We have examined the relevant books, registers, forms, documents and papers produced before us by **Little Rock Trade & Investment Ltd** ('the Company') in respect of Reconciliation of Share Capital Audit as per Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. To the best of our knowledge and according to the information and explanations given to us and as shown by the records examined by us, we certify the following:

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

1.	For Quarter Ended	<b>March 31, 2024</b>	
2.	ISIN:	-	
3.	Face Value:	Rs. 10/- per share	
4.	Name of the Company	<b>Little Rock Trade &amp; Investment Ltd</b>	
5.	Registered Office Address	20/1, Maharshi Debendra Road, 2 <sup>nd</sup> Floor, Kolkata (WB)- 700007	
6.	Correspondence Address	B-38, Ground Floor, GHCL House, Institutional Area, Sector-1, Noida, Gautam Buddha Nagar, U.P. – 201301	
7.	Telephone & Fax Nos.	+91-120-4939945	
8.	Email address	<a href="mailto:lrtil1981@yahoo.com">lrtil1981@yahoo.com</a>	
9.	Names of the Stock Exchanges where the company's securities are listed:	The Calcutta Stock Exchange Ltd	
		Number of Shares	% of Total Issued Capital
10.	Issued Capital	12,49,000	100%
11.	Listed Capital (Exchange-wise) (as per company records)	12,49,000	100%
12.	Held in dematerialised form in CDSL	0	0%
13.	Held in dematerialised form in NSDL	0	0%
14.	Physical	12,49,000	100%
15.	<b>Total No. of shares (12+13+14)</b>	<b>12,49,000</b>	<b>100%</b>
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	NA	



17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In prin. Appr. Pending for SE (Specify Name s)
NA	-	-	-	-	-	-

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, any other (to specify).

18.	Register of Members is updated (Yes/No) If not, updated upto which date	Yes
19.	Reference of previous quarter with regards to excess dematerialised shares, if any.	NA
20.	Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	NA

21. Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 Days	-	--	--
Pending for more than 21 days	-	-	-

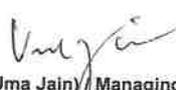
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. Hira Kumar Company Secretary & Compliance Officer Phone- +91-120-4939945
23.	Name, Address, Tel. & Fax No., Regn. no. of the Auditor	Mr. Rakesh Kumar Agarwal <b>Rakesh K Agarwal &amp; Co.</b> Address: C-3/1101, Supertech Rameshwar Orchids Kaushambi, Ghaziabad-201010 Email:- <a href="mailto:rkagpbl@gmail.com">rkagpbl@gmail.com</a> Mob. 9871234415
24.	Appointment of common agency for share registry work. If yes (name & address).	No.
25.	Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE company changed its name etc.).	NA

Date: 09-04-2024  
Place: Ghaziabad  
UDIN: 24086574BKFGGH8461



For **Rakesh K Agarwal & Co.**  
Chartered Accountants  
FRN No 033764N

**Rakesh Kumar Agarwal**  
Membership No 086574  
Proprietor

HALF YEARLY COMPLIANCE REPORT ON DISCLOSURE OF LOANS/GUARANTEES/ COMFORT LETTERS /SECURITIES ETC.			
Name of Listed Entity		Little Rock Trade and Investment Ltd.	
Half Year Ended		October 01, 2023 - March 31, 2024	
<b>I. Disclosure of Loans / guarantees / comfort letters / securities etc.</b>			
<b>(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:</b>			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
<b>( B ) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:</b>			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
<b>( C ) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:</b>			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
<b>II. Affirmations:</b>			
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.			
Name & Designation	for Little Rock Trade and Investment Ltd.		
	 (Uma Jain) Managing Director		
Date:	10.04.2024		